

Buy and hold: professional indemnity insurance cover that keeps pace with stockbrokers



Arm yourself against negligence, error or omission

Stockbrokers require a robust and agile professional indemnity product to support them in navigating the daily tumult of the marketplace, interpreting longer term opportunities, and advising clients on financial risks and rewards.

Nadic can negotiate professional indemnity cover specifically written to address the risk exposures inherent in operating in capital markets.

As a leading insurance broker for the financial industry, we can help your brokerage improve performance and instill confidence by identifying and managing your exposures.



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Typical professional risk areas to indemnify against

- Breach of Client investment agreement
- Mismanagement of funds resulting in underperformance
- Breach of disclosure rules
- Failure to disclose conflicts of interest
- Failure to execute client orders timely or accurately
- Agents acting outside of their authority
- Misleading or deceptive research reports, and
- Unit pricing errors.



Risk management services you can bank on

Nadic has extensive experience working with stockbrokers in tailoring professional indemnity insurance products that address their specific professional risks and support them in their daily activity.

We can also manage risks including:

- Duties of directors; covered with directors and officers liability,
- Liabilities arising as an employer; covered with employment practices liability, and
- Losses arising from third party and employee fraud.

A smart insurance partner

Nadic Insurance Brokers are dedicated to supporting stockbrokers by managing their professional risks and providing them with leading individual and packaged premium policies. We set ourselves apart by understanding that each client is unique; we listen to you to understand what's important and to apply our industry knowledge to provide you with a best-fit solution.

We get results for our clients by personally broking each insurance contract with leading local and overseas markets. This means a working relationship with Nadic provides you with the kind of confidence required to grow and innovate, and to know that we have your back. Let's start a conversation.

Start a conversation with us...

Contact Mitchell James at mjames@nadic.com.au or by phoning 02 8243 5400.